

If you have a joint account, your choice(s) will apply to everyone on your account unless you mark below.

Apply my choice(s) only to me.

Mark any/all you want to limit:

Do not share information about my creditworthiness with your affiliates for their everyday business purposes.

Do not allow your affiliates to use my personal information to market to me.

<b>Name</b>		<b>Mail to:</b> Amerant Investment, Inc. 220 Alhambra Circle, Coral Gables, FL 33134
<b>Address</b>		
<b>City, State, Zip</b>		
<b>Account #(s)</b>		

*f*

Amerant Investments, Inc.  
220 Alhambra Circle Coral Gables, FL 33134  
Telephone: 305-460-8599 Fax: 305-460-8598  
Toll-Free From Venezuela: 0-800-100-5985  
Toll-Free within the U.S.: 1-800-320-2819



amerantbank.com



PRIVACY  
OPT OUT  
FORM

**Facts** **What Does Amerant Investments do with your Personal Information?**

**Why?** Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

**What?** The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and assets
- account balances and transaction history
- credit history and investment experience

**How?** All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons Amerant Investments chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Amerant Investment Services share?	Can you limit this sharing?
<b>For our everyday business purposes –</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes –</b> to offer our products and services to you	Yes	No
<b>For joint marketing with other financial companies</b>	We don’t share	
<b>For our affiliates’ everyday business purposes –</b> information about your transactions and experiences	Yes	No
<b>For our affiliates’ everyday business purposes –</b> information about your creditworthiness	Yes	Yes
<b>For our affiliates’ to market to you</b>	Yes	Yes
<b>For our nonaffiliates’ to market to you</b>	We don’t share	

**To limit our sharing**

- Call from the U.S. 1-800-320-2819 or from Venezuela 0-800-100-5985 or,
- Mail the form below

**Please note:**  
If you are a new customer, we can begin sharing your information 30 days from the date we sent this notice. When you are no longer our customer, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.

**Questions?** Call from the U.S. 1-800-320-2819 or from Venezuela 0-800-100-5985

What we do	
<b>How does Amerant Investments protect my personal information?</b>	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
<b>How does Amerant Investments collect my personal information?</b>	We collect your personal information, for example, when you <ul style="list-style-type: none"> <li>• open an account or deposit money</li> <li>• seek advice about your investments</li> <li>• direct us to buy securities or direct us to sell your securities</li> </ul> We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.
<b>Why can’t I limit all sharing?</b>	Federal law gives you the right to limit only <ul style="list-style-type: none"> <li>• sharing for affiliates’ everyday business purposes— information about your creditworthiness</li> <li>• affiliates from using your information to market to you</li> <li>• sharing for nonaffiliates to market to you</li> </ul> State laws and individual companies may give you additional rights to limit sharing.
<b>What happens when I limit sharing for an account I hold jointly with someone else?</b>	Your choices will apply to everyone on your account— unless you tell us otherwise.

Definitions	
<b>Affiliates</b>	Companies related by common ownership or control. They can be financial and nonfinancial companies. Our affiliates include financial companies such as Amerant Bank N.A., Amerant Trust Company N.A., and Amerant Bank and Trust Limited.
<b>Non-affiliates</b>	Companies not related by common ownership or control. They can be financial and nonfinancial companies. Non-affiliates we share with can include our clearing firm, Pershing LLC.
<b>Joint marketing</b>	Amerant Investments doesn’t jointly market.